

**FY 2014 Dictionary of  
Superfund Action Codes For Compass Financial Transactions**

**Office of the Chief Financial Officer  
Office of Financial Management  
Program Costing Staff**

**in coordination with the**

**Office of Solid Waste and Emergency Response**

**and the**

**Office of Enforcement and Compliance Assurance**

## **Table of Contents**

<b>Introduction</b>	<b>5</b>
<b>Action Code Dictionary Changes</b>	<b>6</b>
<b>Superfund Enforcement Function</b>	<b>10</b>
<b>Office of the Chief Financial Officer</b>	10
<b>Criminal Enforcement (52) Program Project</b>	12
<b>Superfund Enforcement (C7) Program Project</b>	14
<b>Superfund Federal Facilities IAs (H2) Program Project</b>	24
<b>Superfund Response Function</b>	<b>26</b>
<b>Base Realignment and Closure (41) Program Project</b>	26
<b>Superfund Federal Facilities (C9) Program Project</b>	28
<b>Superfund Emergency Response and Removal (C6) Program Project</b>	35
<b>Removal Site Allowance</b>	35

<b>Removal Support Site Allowance</b>	<b>38</b>
<b>Remedial (D2) Program Project</b>	<b>43</b>
<b>Pipeline Operations Site Allowance</b>	<b>43</b>
<b>Remedial Action Site Allowance</b>	<b>56</b>
<b>Action Code Coding Definitions</b>	<b>59</b>
<b>FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Name</b>	<b>60</b>
<b>FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Code</b>	<b>66</b>



## Introduction

The Superfund Action Code Dictionary provides guidance to users on the appropriate use of action codes and Site Allowances for financial transactions for specific Program Results Codes (PRCs) for the Superfund Removal, Remedial, Federal Facilities, and Enforcement programs. Superfund financial transactions associated with other PRCs not included in this dictionary are not subject to this guidance. Except where specified in the dictionary, all codes are applicable to both intramural and extramural Superfund resources. This dictionary supersedes previous years' versions of the Action Code Dictionary.

For each action code, the dictionary identifies the allowable site designators (site-specific, "WQ", "ZZ", or non-site-specific), which dictate what types of Site/Spill Identifier codes (SSIDs) may be used for that action code.

Consistent with prior year practice, the dictionary represents guidance to assist Superfund Program offices in the management of Superfund program resources. Action code definitions are only guidelines for the use of these codes, and unless other guidance (e.g., the Superfund Program Implementation Manual--SPIM), Resources Management Directives (RMDS), CERCLA, relevant regulations, or contractual agreements (e.g., SOWs) specify limited uses for these codes, best professional judgment should be applied where uncertainty exists in categorizing costs. Users should consult with the appropriate National Program Manager office before acting in variance to this guidance.

The Action Code Dictionary consists of five sections in addition to this introduction.

The first section summarizes changes from previous versions of the dictionary.

The second section contains a series of subsections, divided by PRC and by Site Allowance, where applicable, that provide definitions for each action code that may be used for financial transaction purposes. For ease of use, action codes are also grouped into general subcategories within each PRC.

The third section provides definitions for site designators and Site Allowances for the tables.

The last two sections are tables that summarize allowable coding for each action code without providing action code definitions. The first table is organized alphabetically by Action Name, and is contained in the SPIM. The second table is organized by Action Code.

## Action Code Dictionary Changes

### FY 2014--New Uses of the OU Field by the Superfund Program

Under SEMS (the Superfund Enterprise Management System, which replaced CERCLIS), Superfund is using the OU field to help differentiate among non-site specific costs, both for planning purposes within SEMS as well as for recording financial transactions in Compass. The OU field is positions 7-8 of the Compass Project field. Specifically, the program is using the OU field to:

- Identify the state or tribal recipient of cooperative agreement funds (appropriated dollars only) for non-site-specific (SSID=%%00) purposes. Regional program offices, as they did under CERCLIS, will identify the Project code and Budget Cost Org code to be used for procurements but will use the appropriate SEMS two character state/tribal abbreviation in lieu of the traditional OU 00 for non-site-specific cooperative agreement awards of appropriated funds
  - If non-site expenditures are redistributed site-specifically, the OU code must be updated to the site-specific OU
  - These procedures only apply to resources managed by the regional program offices through SEMS
  - Finance and regional program offices must coordinate to create new state-specific non-site projects in Compass against which financial transactions may occur

Differentiate uses of non-site (SSID= %%00) Superfund resources managed by the regional program offices through SEMS

- Regional programs will use series and subseries of the OU field for specific action codes to provide greater detail in both planning and execution
  - OU series established in SEMS (10, 20, 30...) represent categories of costs within a specific action code
  - Regions may, at their discretion, establish subseries (11, 12, 13...) that represent region-specific subcategories per their needs
  - OU series/subseries is currently only being used for the “General Management and Support” action code (BM)
- Use of OU series/subseries is optional--Regions may continue to use OU 00 in lieu of more specific series/subseries
- Finance and regional program offices must coordinate to create new non-site projects in Compass against which financial transactions could occur

## **FY 2014—Other Changes**

In FY 2014, the following additional revisions have been made to the action code dictionary:

- Starting in FY 2014, the WQ code may be used in the action code field (5th & 6th positions of the project field) and operable unit field (OU) (7th & 8th positions of the project field) in association with obligating special account funds (i.e., fund codes TR2, TR2A, and TR2B). However, the special account number must always be provided for special account fund code transactions (i.e., WQ CANNOT be used in the site/spill identifier codes (SSIDs) (positions 1-4 of the project field). Use of the WQ code in the action code and OU fields will allow regions flexibility to obligate special account funds for multiple response actions at sites with multiple operable units and/or potentially responsible parties (PRPs) and will minimize accounting transactions required to obligate available special account funds. All obligations to the WQ action code or WQ OU in association with special account funds MUST be redistributed to site-specific action codes and OUs at the time of expenditure to ensure those funds are used for CERCLA response actions consistent with the settlement the funds were collected under. OSRTI will review obligations and expenditures on a semi-annual basis to ensure compliance.
- Footnotes regarding the use of WQ SSIDs, OUs, and action codes were revised for clarity and to reflect 2014 policy.
- Lead code requirements were removed from the action code dictionary.
- Local Government Reimbursement (Evacuation State/Local) (EV) and Integrated Assessment (EA) were removed from the action code dictionary and are not available for financial transactions.
- Five Year Review Addendum (WV), Site Reassessment (OO), RI/FS Scoping (ZA), and RA Contractor Acquisition (ZB) were added to the action code dictionary and are available for financial transactions.

## **FY 2013--Changes**

The FY 2012 version of this dictionary remained in effect in FY 2013, with no revisions.

## **FY 2012--Changes**

In FY 2012, a number of changes to the Action Code Dictionary were implemented from the FY 2010 versions, which were also in effect in FY 2011. Most notably, intramural and extramural versions of the dictionary were merged to simplify data entry and reporting and to reduce coding errors. The following additional revisions were also included in the dictionary:

- PRC codes were updated to reflect the current Agency Strategic Plan; PRC codes for financial transactions subject to this guidance are now six characters long (with the exception of the BRAC PRC)
- Except where specified, all action codes in the dictionary may be used for intramural and extramural purposes.
- Action code definitions were modified slightly, primarily to reflect intramural and extramural uses.
- One new action code was established. QV – PRP Search replaces NS and RP for new searches. Regions can continue to use NS and RP for existing searches. Contact Alice Ludington with questions.
- References to several action codes for which financial transactions are no longer used were deleted.
- The Removal Oversight (BB) action code was added to the Remedial Program Pipeline Site Allowance (for Remedial Program oversight of time-critical and non-time-critical removal actions at NPL sites) in the Remedial Program PRC (302DD2).
- The HRS Package (HR) and the Generic PA/SI (QB) action codes were added to the Federal Facilities Program PRC (303DC9) for headquarters use only.
- Lead codes were eliminated for many action codes. Enforcement lead codes changed from FE and SE to F and S, respectively.
- The following errors from the FY 2010 extramural dictionary were corrected:
  - The site designator for the CERCLA Criminal Enforcement (CC) action code is “S” (site-specific)
  - The General Enforcement (GE) and Negotiation – Generic (NE) action codes were added to the OCFO Enforcement Program PRC (501JC7)





## **Action Codes Sorted by Program Project and Site Allowance**

### **Superfund Enforcement Function**

#### **Goal 5, Objective 1**

#### **Office of the Chief Financial Officer**

### **Superfund Enforcement (C7) Program Project**

#### **Program Results Code 501JC7**

FY 2014 Superfund Action Codes for Compass

10

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

**Enforcement Function**  
**PRC: 501JC7**

**Superfund Enforcement Program Project**

<b>Activity: Cost Documentation</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
General Enforcement	GE	General support activities required to manage and evaluate the Superfund Enforcement program. Contractor support includes establishing, maintaining, and revising automated data processing systems, and conducting special studies to help determine programmatic direction in future years.	00
Litigation - Generic	LT	Activities undertaken for all types of non-criminal CERCLA litigation. Includes preparing litigation referral, preparing cases for filing, assisting in the actual litigation, responding to CERCLA Section 106(b) petitions; administrative or judicial actions for assessment of stipulated or statutory penalties for violation of earlier enforcement instruments.	S
Negotiation - Generic	NG	Costs and activities related to any combination of negotiation types, including other negotiation action codes (AN, FN, NE, and RN). Does not include negotiations for prospective purchaser agreements. <b>This action code includes the Interagency Agreement Negotiation (IA) code, which had previously been used separately for financial transaction purposes.</b>	S
Preparation of Cost Documentation	PC	Costs associated with the preparation of cost documentation in support of cost recovery actions and the preparation and issuance of periodic billings for oversight costs and other costs.	S

**FY 2014 Superfund Action Codes for Compass**

11

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- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

## **Action Codes Sorted by Program Project and Site Allowance**

### **Superfund Enforcement Function**

#### **Goal 5, Objective 1**

#### **Office of Enforcement and Compliance Assurance**

#### **Criminal Enforcement (52) Program Project**

#### **Program Results Code 501E52**

FY 2014 Superfund Action Codes for Compass

12

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**Enforcement Function**  
**PRC: 501E52**

**Criminal Enforcement Program Project**

<b>Activity Type: CERCLA Criminal Litigation</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
CERCLA Criminal Litigation	CC	Costs and activities associated with undertaking a judicial action charging criminal violation(s) of CERCLA authority. Such cases typically cite (but are not limited to) violation of Section 103 Notification requirements. <b>This code is primarily used with PRC 501E52, but may also be used with PRC 501EC7 for DOJ IAs.</b>	S

**FY 2014 Superfund Action Codes for Compass**

13

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- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

## **Action Codes Sorted by Program Project and Site Allowance**

### **Superfund Enforcement Function**

#### **Goal 5, Objective 1**

### **Office of Enforcement and Compliance Assurance**

#### **Superfund Enforcement (C7) Program Project**

#### **Program Results Code 501EC7**

FY 2014 Superfund Action Codes for Compass

14

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**Enforcement Function  
PRC: 501EC7**

**Superfund Enforcement Program Project**

<b>Activity Type: Enforcement Litigation</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
CERCLA Criminal Litigation	CC	Costs and activities associated with undertaking a judicial action charging criminal violation(s) of CERCLA authority. Such cases typically cite (but are not limited to) violation of Section 103 Notification requirements. <b>This code is primarily used with PRC 501E52, but may also be used with PRC 501EC7 for DOJ IAs.</b>	S
Claim in Bankruptcy Proceedings	CB	Costs associated with preparing a legal action performed by EPA regional attorneys to establish EPA as a creditor when a PRP has filed for bankruptcy.	S
Litigation - Generic	LT	Activities undertaken for all types of non-criminal CERCLA litigation. Includes preparing litigation referral, preparing cases for filing, assisting in the actual litigation, responding to CERCLA Section 106(b) petitions; administrative or judicial actions for assessment of stipulated or statutory penalties for violation of earlier enforcement instruments.	S
Section 104(E) Referral Litigation	SF	Costs associated with preparing referrals without settlement to DOJ/OECA under Section 104(E). The referral can be for information, site access, or enforcement of a Section 104(E) Order.	S
Section 106 Litigation	SX	Costs associated with preparing a judicial referral without settlement to compel PRPs to do response work. Referral can also seek compliance with a previous settlement or order.	S
Section 106/107 Litigation	CL	Costs associated with preparing a referral without settlement to OECA or DOJ of a civil action addressing a site under Sections 106 and 107 of CERCLA.	S

**FY 2014 Superfund Action Codes for Compass**

15

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**Enforcement Function**  
**PRC: 501EC7**

**Superfund Enforcement Program Project**

<b>Activity Type: Enforcement Litigation</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Section 107 Litigation	SV	Costs associated with preparing a judicial referral under Section 107 for recovery from PRPs.	S

<b>Activity Type: Enforcement Negotiation</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Alternative Dispute Resolution	AD	Costs associated with the decision to take cost recovery action by use of alternative dispute resolution (ADR) including mediation, arbitration, and mini-trial.	S, WQ, ZZ, 00
Negotiation - Generic	NG	Activities related to any combination of negotiation types, including other negotiation action codes (AN, FN, NE, and RN). Does not include negotiations for prospective purchaser agreements. <b>This action code includes the Interagency Agreement Negotiation (IA) code, which had previously been used separately for financial transaction purposes.</b>	S
Cost Recovery Negotiation	NE	Costs associated with conducting discussions and exchanges of information between EPA and PRPs over the PRP's liability, for reimbursement to the fund of past EPA expenditures incurred for site cleanup. Includes activities performed through the completion of negotiations including preparation and issuance of an enforcement instrument (e.g., CD, AOC).	S

**FY 2014 Superfund Action Codes for Compass**

16

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**Enforcement Function**  
**PRC: 501EC7**

**Superfund Enforcement Program Project**

<b>Activity Type: Enforcement Negotiation</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Removal Negotiation	RN	Costs associated with conducting discussions and exchanges of information between EPA and PRPs over the PRP's liability, willingness and ability to implement a removal action. Includes activities performed through the completion of removal negotiations including preparation and issuance of an enforcement instrument (e.g., CD, AOC, UAO, non-binding allocation of responsibility).	S
RI/FS Negotiation	FN	Costs associated Activities related to discussions and exchanges of information between EPA and PRPs over the PRP's liability, willingness and ability to implement the RI/FS. Includes activities performed through the completion of RI/FS negotiations including preparation and issuance of an enforcement instrument (e.g., CD, AOC, UAO, non-binding allocation of responsibility).	S
RD/RA Negotiation	AN	Costs associated Activities related to discussions and exchanges of information between EPA and PRPs over the PRP's liability, willingness and ability to implement the long term remedy selected in the ROD. Includes activities performed through the completion or termination of negotiations including preparation and issuance of an enforcement instrument (e.g., CD, AOC, UAO, non-binding allocation of responsibility).	S

**FY 2014 Superfund Action Codes for Compass**

17

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**Enforcement Function**  
**PRC: 501EC7**

**Superfund Enforcement Program Project**

<b>Activity Type: Enforcement PRP Search and Case Development/Implementation</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Compliance Enforcement	UZ	Activities undertaken to follow up with PRPs with regard to performance or payment obligations, including: implementation of CDs; performing five year reviews; implementing institutional controls, and post-construction work. Specific activities may include: compiling and preparing documentation; assisting in reuse activities, warning letter/ call; memo to file documenting decision not to pursue penalties or otherwise take follow-up enforcement action; issuance of notice of violation; meeting with violator; negotiation of any deadline extension; dispute resolution; all activities for collection for delinquent accounts receivable including referral to DOJ for further enforcement. <b>For activities relating to such non-compliance that are carried out after EPA issues a section 109 administrative penalty compliant or after DOJ initiates court action, use the Litigation (LT) code.</b>	S
NPL RP Search	NS	Costs associated with PRP search at NPL sites, including case development, interviews, document review and preparation. <i>(This code is superseded by QV and should only be used for expending unliquidated obligations. New funds should only be applied to QV.)</i> <b>This action code includes the Interviews (HV) and Cost Recovery Decision Document (DD) codes, which had previously been used separately for financial transaction purposes.</b>	S, WQ

**FY 2014 Superfund Action Codes for Compass**

18

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- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

Activity Type: Enforcement PRP Search and Case Development/Implementation			
Action Name	Action Code	Definition	Site Des.
Non-NPL PRP Search	RP	Costs associated with PRP search at non-NPL sites and/or removal action sites. Includes case development, information gathering, interviews, document review and preparation. <i>(This code is superseded by QV and should only be used for expending unliquidated obligations. New funds should only be applied to QV.)</i> <b>This action code includes the Interviews (HV) and Cost Recovery Decision Document (DD) codes, which had previously been used separately for financial transaction purposes.</b>	S, WQ, ZZ
PRP Search	QV	Costs associated with PRP search activities at NPL and non-NPL sites and/or removal action sites. Includes case development, information gathering, interviews, document review and preparation. <i>(This code supersedes NS and RP)</i>	S, WQ, ZZ
PPA Assessment	QX	All activities involved in preparing, negotiating and finalizing a prospective purchaser agreement.	S
Preparation of Cost Documentation	PC	Costs associated with the preparation of cost documentation in support of cost recovery actions and the preparation and issuance of periodic billings for oversight costs and other costs.	S

FY 2014 Superfund Action Codes for Compass

19

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- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
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Activity Type: Enforcement Response Support			
Action Name	Action Code	Definition	Site Des.
Administrative Records	AR	Costs associated with compiling, preparing, and maintaining the Administrative Record. SARA specifies that administrative records be compiled for Superfund sites where remedial or removal responses are planned or occurring, or where EPA is issuing a unilateral order or initiating litigation to track enforcement case budget funds used for any PRP-lead activity.	S
Bulk Funding	WQ	May be used to obligate resources for multiple actions (e.g., EE/CA, RI/FS, RD), site or non-site-specifically. Multiple action funding can occur on a single site or a multiple site work assignment. <b>For extramural use only. May commit and obligate to this action code but must expend (redistribute) to a different action code.</b>	S, WQ, ZZ, 00
Laboratory Support	LA	Includes all laboratory analysis costs for the evaluation of organics, inorganics, dioxin and other specific samples, and other laboratory costs. All contract laboratory program costs will be included in this activity code. Also includes ESD laboratory quality assurance. Charging costs to 501EC7 are limited to activities which support the identification of PRPs and/or sources of contamination.	S, WQ, ZZ, 00

FY 2014 Superfund Action Codes for Compass

20

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- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
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**Enforcement Function  
PRC: 501EC7**

**Superfund Enforcement Program Project**

<b>Activity Type: Enforcement Program Support</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Contract Management	JU	Costs related to management of contracts, cooperative agreements, and grants. <b>This action code includes the Enforcement Contract Management (TM) code, which had previously been used separately for financial transaction purposes.</b>	S, WQ, ZZ, 00
General Enforcement	GE	General support activities required to manage and evaluate the Superfund Enforcement program. Contractor support includes establishing, maintaining, and revising automated data processing systems, and conducting special studies to help determine programmatic direction in future years.	00
Information Management Support	IJ	Information management support as part of the Superfund program including establishing, maintaining, and revising automated data processing systems.	S, WQ, ZZ, 00

**FY 2014 Superfund Action Codes for Compass**

21

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- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

Activity Type: Enforcement Program Support			
Action Name	Action Code	Definition	Site Des.
Legal Review and Analysis	PS	Review of CERCLA documents and other legal analysis in support of the CERCLA program, not otherwise covered under other Action Codes. Includes review of program/technical site documents such as RODs; review of PRP submissions under an enforcement instrument, prior to the time any such submission is determined to be in violation of the instrument (thereafter, such activities should be charged to the Action Codes for Compliance Enforcement or Litigation, as appropriate); seeking access from property owners (prior to the time an access order is prepared or litigation for access is sought); attending public meetings concerning a site; preparing and reviewing documents, and participating in meetings or hearings associated with the filing of a lien; preparing and reviewing an administrative record; negotiating State Superfund Contracts or Cooperative Agreements or other programmatic agreements; providing legal advice on non-enforcement questions; and preparing or reviewing non-enforcement correspondence, such as responses to Congressional requests. <b>May be used with extramural and intramural resources.</b>	S, ZZ
Records Management	SW	All necessary activities to maintain records to respond to Superfund specific activities. Includes operation of automated records management/imaging systems (e.g., SDMS).	S, WQ, ZZ, 00
SEE Program	SM	Provides funding for the Senior Environmental Employees. <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>	S, WQ, ZZ, 00

FY 2014 Superfund Action Codes for Compass

22

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
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**Enforcement Function**  
**PRC: 501EC7**

**Superfund Enforcement Program Project**

<b>Activity Type: Enforcement Program Support</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Training	TH	Training on non-site/incident activities within the Superfund program. <b>Training costs should be coded non-site-specifically (00 SSID) since training costs are not recoverable in a cost recovery action.</b>	00

**FY 2014 Superfund Action Codes for Compass**

23

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
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- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

## **Action Codes Sorted by Program Project and Site Allowance**

### **Superfund Enforcement Function**

#### **Goal 5, Objective 1**

#### **Office of Enforcement and Compliance Assurance**

#### **Superfund Federal Facilities IAs (H2) Program Project**

#### **Program Results Code 501EH2**

FY 2014 Superfund Action Codes for Compass

24

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**Enforcement Function  
PRC: 501EH2**

**Federal Facilities Enforcement Program Project**

<b>Activity Type: General Federal Facilities Enforcement</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
General Enforcement	GE	General support activities required to manage and evaluate the Superfund Enforcement program. Contractor support includes establishing, maintaining, and revising automated data processing systems, and conducting special studies to help determine programmatic direction in future years.	00
Negotiation - Generic	NG	Activities related to any combination of negotiation types, including other negotiation action codes (AN, FN, NE, and RN). Does not include negotiations for prospective purchaser agreements. <b>This action code includes the Interagency Agreement Negotiation (IA) code, which had previously been used separately for financial transaction purposes.</b>	S
Records Management	SW	All necessary activities to maintain records to respond to Superfund specific activities. Includes operation of automated records management/imaging systems (e.g., SDMS). <b>This action code includes the Federal Facilities Docket (GA) code, which had previously been used separately for financial transaction purposes.</b>	S, WQ, ZZ, 00

**FY 2014 Superfund Action Codes for Compass**

25

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## **Action Codes Sorted by Program Project and Site Allowance**

### **Superfund Response Function**

#### **Goal 3, Objective 3**

#### **Office of Solid Waste and Emergency Response**

#### **Base Realignment and Closure (41) Program Project**

#### **Program Results Codes 303D41 and 303D41CB4**

FY 2014 Superfund Action Codes for Compass

26

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**Response Function**  
**PRC 303D41 and 303D41CB4**

**BRAC Program Project**

<b>Activity Type: Federal Facility BRAC</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Federal Facility and BRAC General Support and Management (Non-site specific) <b>PRC: 303D41</b>	TX	Payroll only costs for activities required to operate and maintain the BRAC program that are not site-specific. Use this code with this PRC for BRAC I-IV program work only.  <b>Use this code with PRC 303D41 for BRAC program payroll costs only.</b>	00
FF Oversight (Site-Specific BRAC Costs) <b>PRC : 303D41XB4</b>	OX	Payroll and site travel only costs associated with conducting oversight of a federal facility response action at BRAC I-IV sites. Includes all activities for monitoring/supervising the FF's performance to determine whether such performance is consistent with the requirements of SARA and the IA and/or MOA.  <b>Use this code with PRC 303D41XB4 for BRAC site-specific payroll and site travel costs only. This code replaces Site-Specific BRAC Costs (PX), which had previously been used for this purpose.</b>	S

**FY 2014 Superfund Action Codes for Compass**

27

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- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

## **Action Codes Sorted by Program Project and Site Allowance**

### **Superfund Response Function**

#### **Goal 3, Objective 3**

#### **Office of Solid Waste and Emergency Response**

#### **Superfund Federal Facilities (C9) Program Project**

#### **Program Results Code 303DC9**

#### **Federal Facilities Response Site Allowance**

FY 2014 Superfund Action Codes for Compass

28

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- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

Activity Type: Federal Facility Response Actions and Response Support			
Action Name	Action Code	Definition	Site Des.
Administrative Records	AR	Costs associated with compiling, preparing, and maintaining the Administrative Record. SARA specifies that administrative records be compiled for Superfund sites where remedial or removal responses are planned or occurring, or where EPA is issuing a unilateral order or initiating litigation to track enforcement case budget funds used for any PRP-lead activity.	S
Aerial Survey	AS	Aerial photography, multi-spectral scanner, forward looking infra-red, and historical aerial photos with analysis support for assessment of CERCLA hazardous waste sites. <b>This action code includes the Removal Aerial Survey (AY) code, which had previously been used separately for financial transaction purposes.</b>	S, WQ, ZZ
Bulk Funding	WQ	May be used to obligate resources for multiple actions (e.g., EE/CA, RI/FS, RD), site or non-site-specifically. Multiple action funding can occur on a single site or a multiple site work assignment. <b>For extramural use only. May commit and obligate to this action code but must expend (redistribute) to a different action code.</b>	S, WQ, ZZ, 00
Engineering Evaluation/Cost Analysis (EE/CA)	EE	A study to identify the objectives of a removal action and to analyze the cost effectiveness and implementability of the various alternatives that may be used to satisfy these objectives.	S

FY 2014 Superfund Action Codes for Compass

29

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Activity Type: Federal Facility Response Actions and Response Support			
Action Name	Action Code	Definition	Site Des.
FF Oversight	OX	<p>Oversight of a federal facility response action. Includes all activities for monitoring/supervising the FF's performance to determine whether such performance is consistent with the requirements of SARA and the IA and/or MOA.</p> <p><b>This action code includes the following codes that had previously been used separately for financial transaction purposes and that are still used for tracking accomplishments in SEMS: FF Removal (LV), FF RI (NH), FF FS (NI), FF RI/FS (LW), FF RD (LX), FF RA (LY), FF LTRA (MZ), FF Five Year Review (VY), and FF Community Involvement (LZ).</b></p> <p><b>This code may also be used for oversight of other activities, such as EE/CA (EE), Health/Risk Assessment (ED), and Technical Assistance (TA), which have unique SEMS codes.</b></p> <p><b>Use this code with this PRC for non-BRAC federal facility site-specific costs only.</b></p>	S

FY 2014 Superfund Action Codes for Compass

30

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Activity Type: Federal Facility Response Actions and Response Support			
Action Name	Action Code	Definition	Site Des.
Generic PA/SI	QB	<p>Costs associated with all site assessment activities.</p> <p><b>This action code includes the RCRA Facility Assessment (AA), Combined PA/SI (NX), Integrated SI (QJ), Integrated Assessment (EA), Integrated PA/SI (OU), Integrated ESI/RI (OV), Integrated ESI (OY), and Integrated HRS Package (OZ) codes, which had previously been used separately for financial transaction purposes.</b></p> <p><b>This action code may also be used to capture costs associated with the following codes for which financial transactions are also permitted: ESI (ES), Pre-CERCLIS Screening (HX), Preliminary Assessment (PA), Site Inspection (SI), ESI/RI (SS), FF PA Review (RX), FF SI Review (TY), and FF ESI Review (TZ).</b></p> <p><b>Use of this PRC is for headquarters only.</b></p>	S, WQ, ZZ
Groundwater Monitoring (Post-ROD)	GM	Groundwater monitoring as specified in the ROD when no other groundwater cleanup is specified. Also, where there is no appropriate design and cleanup consistent with groundwater monitoring as part of the remedy (e.g., when the ROD specifies no action or a removal action plus groundwater monitoring).	S

FY 2014 Superfund Action Codes for Compass

31

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Activity Type: Federal Facility Response Actions and Response Support			
Action Name	Action Code	Definition	Site Des.
HRS Package	HR	Activities to develop the numeric estimate of the relative severity of a hazardous substance release or potential release using the hazard ranking system (HRS). Occurs during site investigation or expanded site investigation. <b>Use of this PRC is for headquarters only.</b>	S
Laboratory Support	LA	Includes all laboratory analysis costs for the evaluation of organics, inorganics, dioxin, and other specific samples, and other laboratory costs (bottles, contractor QA and sample management office costs). All contract laboratory program costs will be included in this activity code. Also includes ESD laboratory quality assurance.	S, WQ, ZZ, 00
Operations and Maintenance	OM	Costs associated with conducting or overseeing post-construction Operations and Maintenance (O&M) activities at a site. <b>Trust Fund account resources may be used only to oversee O&amp;M. Reimbursable account resources may be used to conduct or oversee O&amp;M.</b>	S
Risk/Health Assessment	ED	Human health, ecological risk, or ATSDR health assessment activities. <b>This action code includes the Health Assessment (HA) and Ecological Risk Assessment (JF) codes, which had previously been used separately for financial transaction purposes.</b>	S
Technical Assistance	TA	Technical support for FF-lead EE/CA, RI/FS and RD. <b>This action code includes the Topographical Mapping (TO), Hydro/Geological Support (HG), and Geophysical Support/Mapping (GS) codes, which had previously been used separately for financial transaction purposes.</b>	S, WQ, ZZ

FY 2014 Superfund Action Codes for Compass

32

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Activity: Federal Facility State and Community Involvement			
Action Name	Action Code	Definition	Site Des.
FF Community Involvement	LZ	The community relations and EPA oversight activities at federal facility, i.e., plan, implementation and responsiveness summary that must be completed at a FF.	S
State Support Agency Cooperative Agreement (formerly Management Assistance)	MA	Federal remuneration of state administrative costs of participation in site-specific remedial planning or implementation activities. <b>This action code includes the Multi-Site Cooperative Agreement (MS) code, which had previously been used separately for financial transaction purposes.</b>  <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>	S, WQ, ZZ
Technical Assistance Grant	TG	A grant provided under SARA to a community for technical assistance in dealing with Superfund issues at a NPL site or sites proposed for the NPL where there is a response action under way. <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>  <b>Regions may plan using the WQ SSID but must obligate site-specifically.</b>	S
Tribal Support Agency Cooperative Agreement	TJ	Federal remuneration of tribal administrative costs of participation in site-specific remedial or enforcement planning or implementation activities. <b>For extramural use only. Use Contract Management (JU) or fixed account</b>	S, WQ, ZZ

FY 2014 Superfund Action Codes for Compass

33

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**Response Function  
PRC 303DC9**

**Federal Facilities Program Project**

<b>Activity: Federal Facility State and Community Involvement</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
		<b>number (FAN) for associated intramural costs.</b>	

<b>Activity Type: Federal Facility Program Support</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Contract Management	JU	Costs related to management of contracts, cooperative agreements, and grants.	S, WQ, ZZ, 00
Federal Facility and BRAC General Support and Management <b>(Non-site specific)</b>	TX	Administrative costs for activities required to operate and maintain the Superfund federal facility oversight and BRAC programs that are not site-specific. Programmatic costs for those activities not covered in the other federal facility or BRAC action codes are not site-specific. <b>Use this code with this PRC only for the Federal Facility program.</b>	00
Information Management Support	IJ	Information management support as part of the Superfund program including establishing, maintaining, and revising automated data processing systems.	S, WQ, ZZ, 00
Records Management	SW	All necessary activities to maintain records to respond to Superfund specific activities. Includes operation of automated records management/imaging systems (e.g., SDMS).	S, WQ, ZZ, 00
SEE Program	SM	Provides funding for the Senior Environmental Employees. <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>	S, WQ, ZZ, 00

**FY 2014 Superfund Action Codes for Compass**

34

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## **Action Codes Sorted by Program Project and Site Allowance**

### **Superfund Response Function**

#### **Goal 3, Objective 3**

#### **Office of Solid Waste and Emergency Response**

### **Superfund Emergency Response and Removal (C6) Program Project**

#### **Program Results Code 303DC6**

#### **Removal Site Allowance**

FY 2014 Superfund Action Codes for Compass

35

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#### FY 2014 Superfund Action Codes for Compass

36

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**Response Function  
PRC: 303DC6**

**Superfund Emergency Response and Removal Program/Project  
Removal Site Allowance**

<b>Activity Type: Removal and Removal Oversight</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
PRP Emergency Removal <b>(Emergency Removals Without an Enforceable Instrument)</b>	PJ	The PRP or their contractors have begun construction work on-site in response to an emergency incident and EPA provides onsite technical oversight and/or is part of an incident command system/unified command (as documented in a POLREP).	S
PRP Removal	BB	Oversight of PRP's response action for removals. All activities for monitoring/supervising the PRP's performance to determine whether such performance is consistent with the enforcement documentation.	S
Removal	RV	Response action that requires expeditious attention to reduce imminent and substantial dangers to human health, welfare, or the environment or an emergency response required within hours or days to address acute situations involving actual or potential threat to human health, the environment, or real or personal property due to the release of a hazardous substance.  <b>Regions may plan using the WQ SSID but must obligate site-specifically.</b>	S
Removal Assessment	RS	The process of collecting field data on an actual or potential hazardous substance site or spill for the purpose of characterizing the magnitude and severity of the hazard. Investigation may be conducted by the state, EPA, and/or EPA contractor, and must include an on-site component, such as a walk around, survey, or sampling.	S, WQ, ZZ

**FY 2014 Superfund Action Codes for Compass**

37

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## **Action Codes Sorted by Program Project and Site Allowance**

### **Superfund Response Function**

#### **Goal 3, Objective 3**

#### **Office of Solid Waste and Emergency Response**

### **Superfund Emergency Response and Removal (C6) Program Project**

#### **Program Results Code 303DC6**

#### **Removal Support Site Allowance**

FY 2014 Superfund Action Codes for Compass

38

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**Response Function**  
**PRC: 303DC6**

**Superfund Emergency Response and Removal Program/Project**  
**Removal Support Site Allowance**

<b>Activity Type: Response Support</b>			
NOTE: Regions may use any of the Pipeline Site Allowance Action Codes for the Removal Support Site Allowance, as necessary.			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Aerial Survey	AS	Aerial photography, multi-spectral scanner, forward looking infra-red, and historical aerial photos with analysis support for assessment of CERCLA hazardous waste sites. <b>This action code includes the Removal Aerial Survey (AY) code, which had previously been used separately for financial transaction purposes.</b>	S, WQ, ZZ
Administrative Records	AR	Costs associated with compiling, preparing, and maintaining the Administrative Record. SARA specifies that administrative records be compiled for Superfund sites where remedial or removal responses are planned or occurring, or where EPA is issuing a unilateral order or initiating litigation to track enforcement case budget funds used for any PRP-lead activity.	S
Bulk Funding	WQ	May be used to obligate resources for multiple actions (e.g., EE/CA, RI/FS, RD), site or non-site-specifically. Multiple action funding can occur on a single site or a multiple site work assignment. <b>For extramural use only. May commit and obligate to this action code but must expend (redistribute) to a different action code.</b>	S, WQ, ZZ, 00

**FY 2014 Superfund Action Codes for Compass**

39

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

**Response Function**  
**PRC: 303DC6**

**Superfund Emergency Response and Removal Program/Project**  
**Removal Support Site Allowance**

<b>Activity Type: Response Support</b>			
NOTE: Regions may use any of the Pipeline Site Allowance Action Codes for the Removal Support Site Allowance, as necessary.			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Community Involvement (Non-federal facility)	CR	The community relations activities, including EPA oversight that must be completed at a site to address community concerns. <b>This action code includes the Removal Community Involvement (RC), Remedial Community Involvement (CR), and PRP Community Involvement (EL) codes, which had previously been used separately for financial transaction purposes.</b>  <b>Regions may plan using the WQ SSID but must obligate site-specifically.</b>	S
Engineering Evaluation/Cost Analysis (EE/CA)	EE	A study to identify the objectives of a removal action and to analyze the cost effectiveness and implementability of the various alternatives that may be used to satisfy these objectives.	S
Laboratory Support	LA	Includes all laboratory analysis costs for the evaluation of organics, inorganics, dioxin, and other specific samples, and other laboratory costs (bottles, contractor QA and sample management office costs). All contract laboratory program costs will be included in this activity code. Also includes ESD laboratory quality assurance.	S, WQ, ZZ, 00

**FY 2014 Superfund Action Codes for Compass**

40

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- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
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**Response Function  
PRC: 303DC6**

**Superfund Emergency Response and Removal Program/Project  
Removal Support Site Allowance**

<b>Activity Type: Response Support</b>			
NOTE: Regions may use any of the Pipeline Site Allowance Action Codes for the Removal Support Site Allowance, as necessary.			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
PRP Emergency Removal <b>(aka Emergency Removals Without an Enforceable Instrument)</b>	PJ	The PRP or their contractors have begun construction work on-site in response to an emergency incident and EPA provides onsite technical oversight and/or is part of an incident command system/unified command (as documented in a POLREP).	S
PRP Removal	BB	Oversight of PRP's response action for removals. All activities for monitoring/supervising the PRP's performance to determine whether such performance is consistent with the enforcement documentation.	S
Removal Assessment	RS	The process of collecting field data on an actual or potential hazardous substance site or spill for the purpose of characterizing the magnitude and severity of the hazard. Investigation may be conducted by the state, EPA, and/or EPA contractor, and must include an on-site component, such as a walk around, survey, or sampling.	S, WQ, ZZ
Technical Assistance	TA	Technical support for EE/CA, RI/FS and RD (including PRP-lead actions). <b>This action code includes the Topographical Mapping (TO), Hydro/Geological Support (HG), and Geophysical Support/Mapping (GS) codes, which had previously been used separately for financial transaction purposes.</b>	S, WQ, ZZ

**FY 2014 Superfund Action Codes for Compass**

41

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

**Response Function  
PRC: 303DC6**

**Superfund Emergency Response and Removal Program/Project  
Removal Support Site Allowance**

<b>Activity Type: Program Support</b>			
NOTE: Regions may use any of the Pipeline Site Allowance Action Codes for the Removal Support Site Allowance, as necessary.			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Contract Management	JU	Costs related to management of contracts, cooperative agreements, and grants.	S, WQ, ZZ, 00
General Support and Management	BM	General support activities required to operate and maintain the Superfund program. Contractor support will include establishing, maintaining, and revising automated data processing systems, and conducting special studies to help determine programmatic direction in future years.	00
Information Management Support	IJ	Information management support as part of the Superfund program including establishing, maintaining, and revising automated data processing systems.	S, WQ, ZZ, 00
Records Management	SW	All necessary activities to maintain records to respond to Superfund specific activities. Includes operation of automated records management/imaging systems (e.g., SDMS).	S, WQ, ZZ, 00
SEE Program	SM	Provides funding for the Senior Environmental Employees. <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>	S, WQ, ZZ, 00
Training	TH	Training on non-site/incident activities within the Superfund program. <b>Training costs should be coded non-site-specifically (00 SSID) since training costs are not recoverable in a cost recovery action.</b>	00

**FY 2014 Superfund Action Codes for Compass**

42

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- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

## **Action Codes Sorted by Program Project and Site Allowance**

### **Superfund Response Function**

#### **Goal 3, Objective 3**

### **Office of Solid Waste and Emergency Response**

#### **Remedial (D2) Program Project**

#### **Program Results Code 303DD2**

#### **Pipeline Operations Site Allowance**

FY 2014 Superfund Action Codes for Compass

43

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
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- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

Activity Type: Site Assessment and Listing			
Action Name	Action Code	Definition	Site Des.
Administrative Records	AR	Costs associated with compiling, preparing, and maintaining the Administrative Record. SARA specifies that administrative records be compiled for Superfund sites where remedial or removal responses are planned or occurring, or where EPA is issuing a unilateral order or initiating litigation to track enforcement case budget funds used for any PRP-lead activity. <b>This action code includes the Proposal to NPL (NP), Removed from Proposed NPL (NR), Final Listing on NPL (NF), Deletion from NPL (ND), and Partial Deletion from NPL (GR) codes, which had previously been used separately for financial transaction purposes.</b>	S
ESI (Expanded Site Inspection)	ES	Functions performed to collect additional data, beyond that required for hazard ranking system scoring, in order to expedite the RI/FS project planning phase for NPL sites. Also called expanded site investigation.	S
ESI/RI	SS	An integrated assessment that characterizes magnitude and severity of hazardous waste sites. This includes risk assessment and more sampling than ESI only.	S
FF PA Review	RX	Quality assurance review of a PA report submitted by another Federal agency.	S, WQ, ZZ
FF SI Review	TY	Quality assurance review of a SI report submitted by another Federal agency.	S, WQ, ZZ
FF ESI Review	TZ	Quality assurance review of an ESI report submitted by another Federal agency.	S, WQ, ZZ

#### FY 2014 Superfund Action Codes for Compass

44

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Activity Type: Site Assessment and Listing			
Action Name	Action Code	Definition	Site Des.
Generic PA/SI	QB	<p>Costs associated with all site assessment activities.</p> <p><b>This action code includes the RCRA Facility Assessment (AA), Combined PA/SI (NX), Integrated SI (QJ), Integrated Assessment (EA), Integrated PA/SI (OU), Integrated ESI/RI (OV), Integrated ESI (OY), and Integrated HRS Package (OZ) codes, which had previously been used separately for financial transaction purposes.</b></p> <p><b>This action code may also be used to capture costs associated with the following codes for which financial transactions are also permitted: ESI (ES), Pre-CERCLIS Screening (HX), Preliminary Assessment (PA), Site Inspection (SI), ESI/RI (SS), FF PA Review (RX), FF SI Review (TY), and FF ESI Review (TZ).</b></p>	S, WQ, ZZ
HRS Package	HR	Activities to develop the numeric estimate of the relative severity of a hazardous substance release or potential release using the hazard ranking system (HRS). Occurs during site investigation or expanded site investigation.	S
Pre-CERCLIS Screening	HX	Costs and activities associated with examining site information to determine whether a new site should be entered into CERCLIS.	S, WQ, ZZ
Preliminary Assessment (PA)	PA	Collection of diverse existing information about the source and nature of the site hazard. It is EPA policy to have PAs completed within one year of site discovery.	S, WQ, ZZ
Site Inspection (SI)	SI	The process of collecting site data and samples to characterize the severity of the hazard for the hazard ranking score and/or enforcement support.	S, WQ, ZZ

FY 2014 Superfund Action Codes for Compass

45

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**Response Function  
PRC: 303DD2**

**Superfund Remedial Program/Project  
Pipeline Operations Site Allowance**

<b>Activity Type: Site Assessment and Listing</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Site Reassessment	OO	The gathering and evaluation of new information on a site previously assessed under the federal Superfund Program to determine whether further Superfund attention is needed.	S, WQ, ZZ

<b>Activity Type: Response Actions</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Combined RI/FS	CO	The process of data collection and analyses of the site problem, identification of preliminary remedial alternatives, and recommendation of a cost effective remedy. There can be more than one RI/FS conducted at a site. <b>Use this code when conducting both RI and FS at the same time.</b>	S
Design Assistance	DA	Assistance for the process of fully detailing and specifying the selected remedy identified in the ROD.	S
Engineering Evaluation/Cost Analysis (EE/CA)	EE	A study to identify the objectives of a removal action and to analyze the cost effectiveness and implement ability of the various alternatives that may be used to satisfy these objectives. <b>Use this code with this PRC only for EE/CAs for non-time critical removal actions (NTCRAs) at NPL sites funded through the Remedial Program.</b>	S

**FY 2014 Superfund Action Codes for Compass**

46

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- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
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- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

**Response Function  
PRC: 303DD2**

**Superfund Remedial Program/Project  
Pipeline Operations Site Allowance**

<b>Activity Type: Response Actions</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Feasibility Study	FS	A study of a hazardous waste site intended to 1) evaluate alternative remedial actions from technical, environmental, and cost effectiveness perspectives, 2) recommend the cost effective remedial action, and 3) prepare a conceptual design, a cost estimate for budgetary purposes and a preliminary construction schedule. <b>Use for FS only. For Combined RI/FS, use CO.</b>	S
Five-Year Remedy Review	FE	A review of remedial action(s) selected under CERCLA Section 121(c) to determine whether the remedy at a site is/remains protective of human health and the environment and to evaluate the implementation and performance of the selected remedy. <b>Regions may obligate to this action code using the WQ SSID but must plan and outlay site-specifically.</b>	S, WQ
Five-Year Review Addendum	WV	An addendum is conducted to modify a Five-Year Review by providing additional information and revising protectiveness statements. <b>Regions may obligate to this action code using the WQ SSID but must plan and outlay site-specifically.</b>	S, WQ
Forward Planning/ Redevelopment/ Reuse	FM	To facilitate the return of the nation's worst sites and those on the National Priorities List to productive use. Such activities include reuse assessments, facilitation, coordination among government, community members, and natural resource organizations, reuse advisors, public outreach, training and workshops, support for Citizen Advisory Groups.	S

**FY 2014 Superfund Action Codes for Compass**

47

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- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
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**Response Function  
PRC: 303DD2**

**Superfund Remedial Program/Project  
Pipeline Operations Site Allowance**

<b>Activity Type: Response Actions</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Groundwater Monitoring (Post-ROD)	GM	Groundwater monitoring as specified in the ROD when no other groundwater cleanup is specified. Also, where there is no appropriate design and cleanup consistent with groundwater monitoring as part of the remedy (e.g., when the ROD specifies no action or a removal action plus groundwater monitoring).	S
Operations and Maintenance	OM	Costs associated with conducting or overseeing post-construction Operations and Maintenance (O&M) activities at a site. <b>Trust Fund account resources may be used only to oversee O&amp;M. Reimbursable account resources may be used to conduct or oversee O&amp;M.</b>	S
Remedial Design	RD	The process of fully detailing and specifying the selected remedy identified in the ROD or EDD.	S
Remedial Investigation	RI	An investigation intended to gather the data necessary to 1) determine the nature and extent of problems at the site; 2) establish cleanup criteria for the site; 3) identify preliminary alternative remedial actions; and 4) support the technical and cost analyses of the alternatives. <b>Use for RI only. For Combined RI/FS, use CO.</b>	S
RI/FS Scoping	ZA	Acquisition of technical support and assistance used during the RI/FS Scoping process to develop investigation planning, cost analysis and pre-screening of alternative remediation technologies.	S

**FY 2014 Superfund Action Codes for Compass**

48

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**Response Function  
PRC: 303DD2**

**Superfund Remedial Program/Project  
Pipeline Operations Site Allowance**

<b>Activity Type: Response Actions</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Risk/Health Assessment	ED	Human health, ecological risk, or ATSDR health assessment activities. <b>This action code includes the Health Assessment (HA) and Ecological Risk Assessment (JF) codes, which had previously been used separately for financial transaction purposes.</b>	S

<b>Activity Type: PRP Oversight</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
PRP Removal	BB	Oversight of PRP's response action for removals. All activities for monitoring/supervising the PRP's performance to determine whether such performance is consistent with the enforcement documentation. <b>Use this code with this PRC only for oversight of time-critical removals and non-time critical removal actions (NTCRAs) at NPL sites funded through the Remedial Program.</b>	S
PRP RI	NA	Oversight of PRP's response action for RI which is an investigation designed to characterize the site, assess the nature and extent of the contamination, and evaluate potential risks to health and the environment. All activities for monitoring/supervising PRP's performance to determine whether such performance is consistent with the requirements of the enforcement documentation. <b>Use NA for RP-lead RI only. For Combined RP RI/FS, use BD.</b>	S

**FY 2014 Superfund Action Codes for Compass**

49

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Activity Type: PRP Oversight			
Action Name	Action Code	Definition	Site Des.
PRP FS	NK	Oversight of PRP's response action for FS. The FS is used to develop/evaluate all potential remediation alternatives to clean up a hazardous waste site. Includes activities for monitoring/supervising the PRP's performance to determine whether such performance is consistent with the requirements of the enforcement documentation. <b>Use NK for PRP-lead FS only. For Combined RP RI/FS, use BD.</b>	S
PRP RI/FS	BD	Oversight of PRP's response action for RI/FS. All activities for monitoring/supervising the PRP's performance to determine whether such performance is consistent with the requirements of the enforcement documentation.	S
PRP RD	BE	Oversight of PRP's response action for RD. All activities for monitoring/supervising the PRP's performance to determine whether such performance is consistent with the requirements of the enforcement documentation.	S
PRP RA	BF	Oversight of PRP's response action for RA. All activities for monitoring/supervising the PRP's performance to determine whether such performance is consistent with the requirements of the enforcement documentation.	S
PRP LR	ME	Oversight of a PRP response action for LR. The LR encompasses all site requirements associated with a remedy that must be performed after completion of the RA. Includes all activities for monitoring/supervising the PRP's performance to determine whether such performance is consistent with the requirements of the enforcement documentation.	S

FY 2014 Superfund Action Codes for Compass

50

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- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

Activity Type: Response Support			
Action Name	Action Code	Definition	Site Des.
Administrative Records	AR	Costs associated with compiling, preparing, and maintaining the Administrative Record. SARA specifies that administrative records be compiled for Superfund sites where remedial or removal responses are planned or occurring, or where EPA is issuing a unilateral order or initiating litigation to track enforcement case budget funds used for any PRP-lead activity.	S
Aerial Survey	AS	Aerial photography, multi-spectral scanner, forward looking infra-red, and historical aerial photos with analysis support for assessment of CERCLA hazardous waste sites. <b>This action code includes the Removal Aerial Survey (AY) code, which had previously been used separately for financial transaction purposes.</b>	S, WQ, ZZ
Bulk Funding	WQ	May be used to obligate resources for multiple actions (e.g., EE/CA, RI/FS, RD), site or non-site-specifically. Multiple action funding can occur on a single site or a multiple site work assignment. <b>For extramural use only. May commit and obligate to this action code but must expend (redistribute) to a different action code.</b>	S, WQ, ZZ, 00
Laboratory Support	LA	Includes all laboratory analysis costs for the evaluation of organics, inorganics, dioxin, and other specific samples, and other laboratory costs (bottles, contractor QA and sample management office costs). All contract laboratory program costs will be included in this activity code. Also includes ESD laboratory quality assurance.	S, WQ, ZZ, 00

FY 2014 Superfund Action Codes for Compass

51

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
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**Response Function  
PRC: 303DD2**

**Superfund Remedial Program/Project  
Pipeline Operations Site Allowance**

<b>Activity Type: Response Support</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
RA Contractor Acquisition	ZB	Acquisition of technical support and assistance used during the RA Scoping process to develop acquisition planning, cost analysis and support in developing RA contractor services based in design documents.	S
Real Property Acquisition	RL	All activities necessary in the acquisition of real property, including land and rights in land, ground improvements, utility distribution systems, buildings, and other structures.	S
Site Security and Maintenance	PD	Costs and activities necessary in securing and maintaining site security.	S
Technical Assistance	TA	Technical support for EE/CA, RI/FS and RD (including PRP lead actions). <b>This action code includes the Topographical Mapping (TO), Hydro/Geological Support (HG), and Geophysical Support/Mapping (GS) codes, which had previously been used separately for financial transaction purposes.</b>	S, WQ, ZZ
Treatability Study	TS	Treatability studies are laboratory or field tests used to evaluate and implement one or more remedial alternatives.	S

**FY 2014 Superfund Action Codes for Compass**

52

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

**Response Function  
PRC: 303DD2**

**Superfund Remedial Program/Project  
Pipeline Operations Site Allowance**

<b>Activity: Program Support</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Contract Management	JU	Costs related to management of contracts, cooperative agreements, and grants.	S, WQ, ZZ, 00
General Support and Management	BM	General support activities required to operate and maintain the Superfund program. Contractor support will include establishing, maintaining, and revising automated data processing systems, and conducting special studies to help determine programmatic direction in future years.	00
Information Management Support	IJ	Information management support as part of the Superfund program including establishing, maintaining, and revising automated data processing systems.	S, WQ, ZZ, 00
Research and Development	BG	Tracking ORD Superfund program generic or site-specific R&D activities.	S, WQ, ZZ
Records Management	SW	All necessary activities to maintain records to respond to Superfund specific activities. Includes operation of automated records management/imaging systems (e.g., SDMS).	S, WQ, ZZ, 00
SEE Program	SM	Provides funding for the Senior Environmental Employees. <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>	S, WQ, ZZ, 00
Training	TH	Training on non-site/incident activities within the Superfund program. <b>Training costs should be coded non-site-specifically (00 SSID) since training costs are not recoverable in a cost recovery action.</b>	00

**FY 2014 Superfund Action Codes for Compass**

53

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

Activity Type: State and Community Involvement			
Action Name	Action Code	Definition	Site Des.
Alternative Dispute Resolution	AD	Costs associated with the decision to take cost recovery action by use of alternative dispute resolution (ADR) including mediation, arbitration, and mini-trial.	S, WQ, ZZ, 00
Community Involvement (Non-federal facility)	CR	The community relations activities, including EPA oversight that must be completed at a site to address community concerns. <b>This action code includes the Removal Community Involvement (RC), Remedial Community Involvement (CR), and PRP Community Involvement (EL) codes, which had previously been used separately for financial transaction purposes.</b>  <b>Regions may plan using the WQ SSID but must obligate site- specifically.</b>	S
State Core Program	SK	Provides a state funding to establish and maintain a basic Superfund response program. <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>	00
State Support Agency Cooperative Agreement (formerly Management Assistance)	MA	Federal remuneration of state administrative costs of participation in site-specific remedial planning or implementation activities. <b>This action code includes the Multi-site cooperative agreement (MS) code, which had previously been used separately for financial transaction purposes.</b>  <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>	S, WQ, ZZ

FY 2014 Superfund Action Codes for Compass

54

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

**Response Function  
PRC: 303DD2**

**Superfund Remedial Program/Project  
Pipeline Operations Site Allowance**

<b>Activity Type: State and Community Involvement</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Technical Assistance Grant	TG	A grant provided under SARA to a community for technical assistance in dealing with Superfund issues at a NPL site or sites proposed for the NPL where there is a response action under way. <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>  <b>Regions may plan using the WQ SSID but must obligate site-specifically.</b>	S
Tribal Core Program	TK	Provides a tribe funding to establish and maintain a basic Superfund response program. <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>	00
Tribal Support Agency Cooperative Agreement	TJ	Federal remuneration of tribal administrative costs of participation in site-specific remedial or enforcement planning or implementation activities. <b>For extramural use only. Use Contract Management (JU) or fixed account number (FAN) for associated intramural costs.</b>	S, WQ, ZZ

**FY 2014 Superfund Action Codes for Compass**

55

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

## **Action Codes Sorted by Program Project and Site Allowance**

### **Superfund Response Function**

#### **Goal 3, Objective 3**

### **Office of Solid Waste and Emergency Response**

#### **Superfund Remedial (D2) Program Project**

#### **Program Results Code 303DD2**

#### **Remedial Action Site Allowance**

FY 2014 Superfund Action Codes for Compass

56

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.



**Response Function  
PRC: 303DD2**

**Superfund Remedial Program/Project  
Remedial Action Site Allowance**

<b>Activity Type: Remedial Action Activities</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Five-Year Remedy Review	FE	A review of remedial action(s) selected under CERCLA Section 121(c) to determine whether the remedy at a site is/remains protective of human health and the environment and to evaluate implementation and performance of the selected remedy. <b>Regions may obligate using the WQ SSID but must plan and outlay site-specifically.</b>	S, WQ
Five-Year Review Addendum	WV	An addendum is conducted to modify a Five-Year Review by providing additional information and revising protectiveness statements. <b>Regions may obligate to this action code using the WQ SSID but must plan and outlay site-specifically.</b>	S, WQ
Long-Term Response Action (LTRA)	LR	Costs incurred to implement up to ten years of Fund- or special account-financed groundwater or surface water restoration measures, including monitored natural attenuation, after completion of a remedial action (RA).	S
Remedial Action	RA	The implementation of a permanent resolution to address a release or potential release of a hazardous substance from a site.	S

**FY 2014 Superfund Action Codes for Compass**

57

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

**Response Function**  
**PRC: 303DD2**

**Superfund Remedial Program/Project**  
**Remedial Action Site Allowance**

<b>Activity Type: Remedial Action Activities</b>			
<b>Action Name</b>	<b>Action Code</b>	<b>Definition</b>	<b>Site Des.</b>
Removal	RV	<p>Response action that requires expeditious attention to reduce imminent and substantial dangers to human health, welfare, or the environment or an emergency response required within hours or days to address acute situations involving actual or potential threat to human health, the environment, or real or personal property due to the release of a hazardous substance.</p> <p><b>Use this code with this PRC only for time-critical removals and non-time critical removal actions (NTCRAs) at NPL sites funded through the Remedial Program.</b></p>	S

**FY 2014 Superfund Action Codes for Compass**

58

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

## Action Code Coding Definitions

Site (SSID) Designators	
Code	Description
S	Site-specific obligation
WQ	Unspecified site- or non-site obligation; outlays must be redistributed to S, ZZ or 00 site designators; may not be used for special account transactions
ZZ	Site related (ZZ) obligation for site assessment; may not be used for special account transactions
00	Non-site (00) obligation; may not be used for special account transactions

Superfund Program Implementation Manual (SPIM) Site Allowances (SA)		
Site Allowance	Site Allowance Name	Compass Budget Org Code, Fifth Position
E	Enforcement	No Compass Budget Org Code
FFE	Federal Facilities Enforcement	No Compass Budget Org Code
FF	Federal Facility Response	F
RV	Removal	E
S	Removal Support	S
P	Pipeline Operations	P
RA	Remedial Action	R

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

## FY2014 Superfund Action Codes for Financial Transactions

### Sorted by Action Name

FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Name				
Action Name	Action Code	PRC	SA	Site Des.
Administrative Records	AR	303DC6	S	S
		303DC9	FF	S
		303DD2	P	S
		501EC7	E	S
Aerial Survey (formerly Pre-Remedial/Remedial Survey)	AS	303DC6	S	S, WQ, ZZ
		303DC9	FF	S, WQ, ZZ
		303DD2	P	S, WQ, ZZ
Alternate Dispute Resolution	AD	303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
Bulk Funding <b>For extramural use only. May commit and obligate to this action code but must expend (redistribute) to a different action code.</b>	WQ	303DC6	S	S, WQ, ZZ, 00
		303DC9	FF	S, WQ, ZZ, 00
		303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
CERCLA Criminal Litigation - <b>501EC7 is for HQ (DOJ IAs) use only.</b>	CC	501EC7	E	S
		501E52	E	S
Claim in Bankruptcy Proceedings	CB	501EC7	E	S
Combined RI/FS	CO	303DD2	P	S
Community Involvement (non-federal facility) <b>May plan with WQ SSID but must obligate site-specifically.</b>	CR	303DC6	S	S
		303DD2	P	S
Compliance Enforcement	UZ	501EC7	E	S
Contract Management	JU	303DC6	S	S, WQ, ZZ, 00
		303DC9	FF	S, WQ, ZZ, 00
		303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
Cost Recovery Negotiation	NE	501EC7	E	S
Design Assistance	DA	303DD2	P	S
Emergency PRP Removal (Emergency Removals Without an Enforceable Instrument)	PJ	303DC6	RV	S
		303DC6	S	S

FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Name				
Action Name	Action Code	PRC	SA	Site Des.
Engineering Evaluation/Cost Analysis (EE/CA)	EE	303DC6	S	S
		303DC9	FF	S
		303DD2	P	S
ESI/RI	SS	303DD2	P	S
Expanded Site Inspection (ESI)	ES	303DD2	P	S
Feasibility Study	FS	303DD2	P	S
Federal Facility and BRAC General Support and Management <b>303D41 is for payroll costs only.</b>	TX	303DC9	FF	00
		303D41	FF	00
FF Community Involvement	LZ	303DC9	FF	S
FF ESI Review	TZ	303DD2	P	S, WQ, ZZ
FF Five Year Review	VY	Not Available--Use FF Oversight (OX)		
FF FS	NI	Not Available--Use FF Oversight (OX)		
FF LR	MZ	Not Available--Use FF Oversight (OX)		
FF Oversight FF Oversight (Site-Specific BRAC Costs) - <b>303D41XB4 is for payroll and site travel only.</b>	OX	303DC9	FF	S
		303D41XB4		S
FF PA Review	RX	303DD2	P	S, WQ, ZZ
FF RA	LY	Not Available--Use FF Oversight (OX)		
FF RD	LX	Not Available--Use FF Oversight (OX)		
FF Removal	LV	Not Available--Use FF Oversight (OX)		
FF RI	NH	Not Available--Use FF Oversight (OX)		
FF RI/FS	LW	Not Available--Use FF Oversight (OX)		
FF SI Review	TY	303DD2	P	S, WQ, ZZ
Five-Year Review <b>May obligate to WQ SSID but must plan/outlay site-specifically.</b>	FE	303DD2	P	S, WQ
		303DD2	RA	S, WQ
Five-Year Review Addendum <b>May obligate to WQ SSID but must plan/outlay site-specifically.</b>	WV	303DD2	P	S, WQ
		303DD2	RA	S, WQ
Forward Planning/ Redevelopment/ Reuse	FM	303DD2	P	S
General Support and Management	BM	303DC6	S	00
		303DD2	P	00

FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Name				
Action Name	Action Code	PRC	SA	Site Des.
General Enforcement	GE	501EC7 501JC7 501EH2	E  FFE	00 00 00
Generic PA/SI <b>303DC9 is for HQ use only.</b>	QB	303DD2 303DC9	P FF	S, WQ, ZZ S, WQ, ZZ
Groundwater Monitoring (Post-ROD)	GM	303DD2 303DC9	P FF	S S
HRS Package <b>303DC9 is for HQ use only.</b>	HR	303DD2 303DC9	P FF	S S
Information Management Support	IJ	303DC6 303DC9 303DD2 501EC7	S FF P E	S, WQ, ZZ, 00 S, WQ, ZZ, 00 S, WQ, ZZ, 00 S, WQ, ZZ, 00
Laboratory Support	LA	303DC6 303DC9 303DD2 501EC7	S FF P E	S, WQ, ZZ, 00 S, WQ, ZZ, 00 S, WQ, ZZ, 00 S, WQ, ZZ, 00
Legal Review and Analysis	PS	501EC7	E	S, ZZ
Litigation – Generic	LT	501EC7 501JC7	E	S S
Long-Term Response Action (LTRA)	LR	303DD2	RA	S
Management Assistance	MA	Renamed--See State Support Agency Cooperative Agreement (MA)		
Multi-Site Cooperative Agreement	MS	Not Available--Use State Support Agency Cooperative Agreement (MA), Generic PA/SI (QB), or Bulk Funding (WQ), as appropriate		
Negotiation – Generic	NG	501EC7 501JC7 501EH2	E  FFE	S S S
Non-NPL PRP Search – <b>For expending unliquidated obligations only. Use QV for new obligations.</b>	RP	Not Available--Use PRP Search (QV)		
NPL RP Search - <b>For expending unliquidated obligations only. Use QV for new obligations.</b>	NS	Not Available--Use PRP Search (QV)		

FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Name				
Action Name	Action Code	PRC	SA	Site Des.
Operations and Maintenance - <b>Use Trust Fund resources only to oversee O&amp;M. Use reimbursable resources to conduct or oversee O&amp;M.</b>	OM	303DC9 303DD2	FF P	S S
PPA Assessment	QX	501EC7	E	S
Pre-CERCLIS Screening	HX	303DD2	P	S, WQ, ZZ
Preliminary Assessment (PA)	PA	303DD2	P	S, WQ, ZZ
Preparation of Cost Documentation	PC	501EC7 501JC7	E	S S
PRP FS	NK	303DD2	P	S
PRP LR	ME	303DD2	P	S
PRP RA	BF	303DD2	P	S
PRP RD	BE	303DD2	P	S
PRP Removal	BB	303DC6	RV	S
		303DC6	S	S
<b>Use Pipeline Site Allowance only for Remedial Program Projects.</b>		303DD2	P	S
PRP RI	NA	303DD2	P	S
PRP RI/FS	BD	303DD2	P	S
PRP Search	QV	501EC7	E	S, WQ, ZZ
RA Contractor Acquisition	ZB	303DD2	P	S
RD/RA Negotiation	AN	501EC7	E	S
Real Property Acquisition	RL	303DD2	P	S
Records Management	SW	303DC6	S	S, WQ, ZZ, 00
		303DC9	FF	S, WQ, ZZ, 00
		303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
		501EH2	FFE	S, WQ, ZZ, 00
Remedial Action	RA	303DD2	RA	S
Remedial Design	RD	303DD2	P	S
Remedial Investigation	RI	303DD2	P	S
Removal - <b>May plan with WQ SSID but must obligate site-specifically. Use RA Site Allowance only for Remedial Program Projects.</b>	RV	303DC6	RV	S
		303DD2	RA	S
Removal Assessment	RS	303DC6	RV	S, WQ, ZZ
		303DC6	S	S, WQ, ZZ

FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Name				
Action Name	Action Code	PRC	SA	Site Des.
Removal Negotiation	RN	501EC7	E	S
Research and Development	BG	303DD2	P	S, WQ, ZZ
RI/FS Negotiation	FN	501EC7	E	S
RI/FS Scoping	ZA	303DD2	P	S
Risk/Health Assessment	ED	303DC9	FF	S
		303DD2	P	S
Section 104(E) Referral Litigation	SF	501EC7	E	S
Section 106 Litigation	SX	501EC7	E	S
Section 106/107 Litigation	CL	501EC7	E	S
Section 107 Litigation	SV	501EC7	E	S
SEE Program - <b>For extramural use only.</b>	SM	303DC6	S	S, WQ, ZZ, 00
		303DC9	FF	S, WQ, ZZ, 00
		303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
Site Inspection (SI)	SI	303DD2	P	S, WQ, ZZ
Site Reassessment	OO	303DD2	P	S, WQ, ZZ
Site Security and Maintenance	PD	303DD2	P	S
Site-Specific BRAC Costs	PX	Not Available—Use FF Oversight (OX)		
State Core Program - <b>For extramural use only.</b>	SK	303DD2	P	00
State Support Agency Cooperative Agreement (formerly Management Assistance) - <b>For extramural use only.</b>	MA	303DC9	FF	S, WQ, ZZ
		303DD2	P	S, WQ, ZZ
Technical Assistance	TA	303DC6	S	S, WQ, ZZ
		303DC9	FF	S, WQ, ZZ
		303DD2	P	S, WQ, ZZ
Technical Assistance Grant - <b>For extramural use only. May plan with WQ SSID but must obligate site-specifically.</b>	TG	303DC9	FF	S
		303DD2	P	S
Training	TH	303DC6	S	00
		303DD2	P	00
		501EC7	E	00
Treatability Study	TS	303DD2	P	S
Tribal Core Program - <b>For extramural use only.</b>	TK	303DD2	P	00
Tribal Support Agency Cooperative Agreement <b>For extramural use only.</b>	TJ	303DC9	FF	S, WQ, ZZ
		303DD2	P	S, WQ, ZZ



Site (SSID) Designators		
S	Site-specific obligation	
WQ	Unspecified obligation; outlays must be redistributed to S, ZZ or 00 site designators; may not be used with special accounts	
ZZ	Site related (ZZ) obligation for site assessment; may not be used with special accounts	
00	Non-site (00) obligation; may not be used with special accounts	
Superfund Program Implementation Manual (SPIM) Site Allowances (SA)		
Site Allowance	Site Allowance Name	Compass Budget Org Code, Fifth Position
E (not in SEMS)	Enforcement	No Compass Budget Org Code
FFE (not in SEMS)	Federal Facilities Enforcement	No Compass Budget Org Code
FF	Federal Facility Response	F
RV	Removal	E
S	Removal Support	S
P	Pipeline Operations	P
RA	Remedial Action	R

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.

## FY2014 Superfund Action Codes for Financial Transactions

### Sorted by Action Code

FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Code				
Action Code	Action Name	PRC	SA	Site Des.
AD	Alternative Dispute Resolution	303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
AN	RD/RA Negotiation	501EC7	E	S
AR	Administrative Records	303DC6	S	S
		303DC9	FF	S
		303DD2	P	S
		501EC7	E	S
AS	Aerial Survey (formerly Pre-Remedial/Remedial Survey)	303DC6	S	S, WQ, ZZ
		303DC9	FF	S, WQ, ZZ
		303DD2	P	S, WQ, ZZ
BB	PRP Removal	303DC6	RV	S
	<b>Use Pipeline Site Allowance only for Remedial Program Projects.</b>	303DC6	S	S
		302DD2	P	S
BD	PRP RI/FS	303DD2	P	S
BE	PRP RD	303DD2	P	S
BF	PRP RA	303DD2	P	S
BG	Research and Development	303DD2	P	S, WQ, ZZ
BM	General Support and Management	303DC6	S	00
		303DD2	P	00
CB	Claim in Bankruptcy Proceedings	501EC7	E	S
CC	CERCLA Criminal Litigation - <b>501EC7 is for HQ (DOJ IAs) use only.</b>	501EC7	E	S
		501E52	E	S
CL	Section 106/107 Litigation	501EC7	E	S
CO	Combined RI/FS	303DD2	P	S
CR	Community Involvement (non-federal facility)	303DC6	S	S
	<b>May plan with WQ SSID but must obligate site-specifically.</b>	303DD2	P	S
DA	Design Assistance	303DD2	P	S
ED	Risk/Health Assessment	303DC9	FF	S
		303DD2	P	S

FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Code				
Action Code	Action Name	PRC	SA	Site Des.
EE	Engineering Evaluation/Cost Analysis (EE/CA)	303DC6	S	S
		303DC9	FF	S
		303DD2	P	S
ES	Expanded Site Inspection (ESI)	303DD2	P	S
FE	Five-Year Review	303DD2	P	S, WQ
	<b>May obligate to WQ SSID but must plan/outlay site-specifically.</b>	303DD2	RA	S, WQ
FM	Forward Planning/ Redevelopment/ Reuse	303DD2	P	S
FN	RI/FS Negotiation	501EC7	E	S
FS	Feasibility Study	303DD2	P	S
GE	General Enforcement	501EC7	E	00
		501JC7		00
		501EH2	FFE	00
GM	Groundwater Monitoring (Post-ROD)	303DD2	P	S
		303DC9	FF	S
HR	HRS Package	303DD2	P	S
	<b>303DC9 is for HQ use only.</b>	303DC9	FF	S
HX	Pre-CERCLIS Screening	303DD2	P	S, WQ, ZZ
IJ	Information Management Support	303DC6	S	S, WQ, ZZ, 00
		303DC9	FF	S, WQ, ZZ, 00
		303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
JU	Contract Management	303DC6	S	S, WQ, ZZ, 00
		303DC9	FF	S, WQ, ZZ, 00
		303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
LA	Laboratory Support	303DC6	S	S, WQ, ZZ, 00
		303DC9	FF	S, WQ, ZZ, 00
		303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
LR	Long-Term Response Action (LTRA)	303DD2	RA	S
LT	Litigation - Generic	501EC7	E	S
		501JC7		S
LV	FF Removal	Not Available--Use FF Oversight (OX)		

FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Code				
Action Code	Action Name	PRC	SA	Site Des.
LW	FF RI/FS	Not Available--Use FF Oversight (OX)		
LX	FF RD	Not Available--Use FF Oversight (OX)		
LY	FF RA	Not Available--Use FF Oversight (OX)		
LZ	FF Community Involvement	303DC9	FF	S
MA	State Support Agency Cooperative Agreement (formerly Management Assistance) - <b>For extramural use only.</b>	303DC9	F	S, WQ, ZZ
		303DD2	P	S, WQ, ZZ
ME	PRP LR	303DD2	P	S
MS	Multi-Site Cooperative Agreement	Not Available--Use State Support Agency Cooperative Agreement (MA), Generic PA/SI (QB), or Bulk Funding (WQ), as appropriate		
MZ	FF LR	Not Available--Use FF Oversight (OX)		
NA	PRP RI	303DD2	P	S
NE	Cost Recovery Negotiation	501EC7	E	S
NG	Negotiation - Generic	501EC7	E	S
		501JC7		S
		501EH2	FFE	S
NH	FF RI	Not Available--Use FF Oversight (OX)		
NI	FF FS	Not Available--Use FF Oversight (OX)		
NK	PRP FS	303DD2	P	S
NS	NPL RP Search - <b>For expending unliquidated obligations only. Use QV for new obligations.</b>	Not Available--Use PRP Search (QV)		
OM	Operations and Maintenance - <b>Use Trust Fund resources only to oversee O&amp;M. Use reimbursable resources to conduct or oversee O&amp;M.</b>	303DC9	FF	S
		303DD2	P	S
OO	Site Reassessment	303DD2	P	S, WQ, ZZ
OX	FF Oversight	303DC9	FF	S
	FF Oversight (Site Specific BRAC Costs) - <b>303D41XB4 is for payroll and site travel only.</b>	303D41XB4		S
PA	Preliminary Assessment (PA)	303DD2	P	S, WQ, ZZ
PC	Preparation of Cost Documentation	501EC7	E	S
		501JC7		S
PD	Site Security and Maintenance	303DD2	P	S

<b>FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Code</b>				
<b>Action Code</b>	<b>Action Name</b>	<b>PRC</b>	<b>SA</b>	<b>Site Des.</b>
PJ	Emergency PRP Removal (Emergency Removals Without an Enforceable Instrument)	303DC6	RV	S
		303DC6	S	S
PS	Legal Review and Analysis	501EC7	E	S, ZZ
PX	Site-Specific BRAC Costs	Not Available--Use FF Oversight (OX)		
QB	Generic PA/SI <b>303DC9 is for HQ use only.</b>	303DD2	P	S, WQ, ZZ
		303DC9	FF	S, WQ, ZZ
QX	PPA Assessment	501EC7	E	S
QV	PRP Search	501EC7	E	S, WQ, ZZ
RA	Remedial Action	303DD2	RA	S
RD	Remedial Design	303DD2	P	S
RI	Remedial Investigation	303DD2	P	S
RL	Real Property Acquisition	303DD2	P	S
RN	Removal Negotiation	501EC7	E	S
RP	Non-NPL RP Search - <b>For expending unliquidated obligations only.</b> <b>Use QV for new obligations.</b>	Not Available--Use PRP Search (QV)		
RS	Removal Assessment	303DC6	RV	S, WQ, ZZ
		303DC6	S	S, WQ, ZZ
RV	Removal - <b>May plan with WQ SSID but must obligate site-specifically.</b> <b>Use RA Site Allowance only for Remedial Program Projects.</b>	303DC6	RV	S
		303DD2	RA	S
RX	FF PA Review	303DD2	P	S, WQ, ZZ
SF	Section 104(E) Referral Litigation	501EC7	E	S
SI	Site Inspection (SI)	303DD2	P	S, WQ, ZZ
SK	State Core Program - <b>For extramural use only.</b>	303DD2	P	00
SM	SEE Program - <b>For extramural use only.</b>	303DC6	S	S, WQ, ZZ, 00
		303DC9	FF	S, WQ, ZZ, 00
		303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
SS	ESI/RI	303DD2	P	S
SV	Section 107 Litigation	501EC7	E	S

FY2014 Superfund Action Codes for Financial Transactions Sorted by Action Code				
Action Code	Action Name	PRC	SA	Site Des.
SW	Records Management	303DC6	S	S, WQ, ZZ, 00
		303DC9	FF	S, WQ, ZZ, 00
		303DD2	P	S, WQ, ZZ, 00
		501EC7	E	S, WQ, ZZ, 00
		501EH2	FFE	S, WQ, ZZ, 00
SX	Section 106 Litigation	501EC7	E	S
TA	Technical Assistance	303DC6	S	S, WQ, ZZ
		303DC9	FF	S, WQ, ZZ
		303DD2	P	S, WQ, ZZ
TG	Technical Assistance Grant - <b>For extramural use only. May plan with WQ SSID but must obligate site-specifically.</b>	303DC9	FF	S
		303DD2	P	S
TH	Training	303DC6	S	00
		303DD2	P	00
		501EC7	E	00
TJ	Tribal Support Agency Cooperative Agreement - <b>For extramural use only.</b>	303DC9	FF	S, WQ, ZZ
		303DD2	P	S, WQ, ZZ
TK	Tribal Core Program - <b>For extramural use only.</b>	303DD2	P	00
TS	Treatability Study	303DD2	P	S
TX	Federal Facility and BRAC General Support and Management <b>303D41 is for payroll costs only.</b>	303DC9	FF	00
		303D41	FF	00
TY	FF SI Review	303DD2	P	S, WQ, ZZ
TZ	FF ESI Review	303DD2	P	S, WQ, ZZ
UZ	Compliance Enforcement	501EC7	E	S
VY	FF Five Year Review	Not Available--Use FF Oversight (OX)		
WQ	Bulk Funding - <b>For extramural use only. May commit and obligate to this action code but must expend (redistribute) to a different action code.</b>	303DC6	S	S, WQ, ZZ
		303DC9	FF	S, WQ, ZZ
		303DD2	P	S, WQ, ZZ
		501EC7	E	S, WQ, ZZ
WV	Five-Year Review Addendum <b>May obligate to WQ SSID but must plan/outlay site-specifically.</b>	303DD2	P	S, WQ
		303DD2	RA	S, WQ
ZA	RI/FS Scoping	303DD2	P	S
ZB	RA Contractor Acquisition	303DD2	P	S

Site (SSID) Designators		
S	Site-specific obligation	
WQ	Unspecified obligation; outlays must be redistributed to S, ZZ or 00 site designators; may not be used with special accounts	
ZZ	Site related (ZZ) obligation for site assessment; may not be used with special accounts	
00	Non-site (00) obligation; may not be used with special accounts	
Superfund Program Implementation Manual (SPIM) Site Allowances (SA)		
Site Allowance	Site Allowance Name	Compass Budget Org Code, Fifth Position
E (not in SEMS)	Enforcement	No Compass Budget Org Code
FFE (not in SEMS)	Federal Facilities Enforcement	No Compass Budget Org Code
FF	Federal Facility Response	F
RV	Removal	E
S	Removal Support	S
P	Pipeline Operations	P
RA	Remedial Action	R

- WQ SSIDs may be used for obligating extramural (non-travel, non-payroll) appropriated funds ONLY. All obligations to WQ SSIDs must be redistributed to site-or non-site-specific SSIDs at the time of expenditure.
- WQ OUs may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ OUs must be redistributed to specific OUs at the time of expenditure.
- WQ action codes may be used for obligating extramural (non-travel, non-payroll) appropriated or special account funds. All obligations to WQ action codes must be redistributed to site-specific action codes (or non-site-specific action codes for appropriated funds) at the time of expenditure.
- Intramural costs (i.e., payroll, travel) may not be charged to WQ SSIDs, WQ OUs or WQ action codes.